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## Best series 65 exam preparation

The Series 65 exam tests your knowledge of investment laws and principles, and passing it is a key step for those interested in pursuing a career as an investment advisor representative. You can study on your own, but many struggle to stay focused without a guide. A Series 65 exam prep course, on the other hand, provides a comprehensive overview of all exam material and a detailed study calendar to keep you on track. We created this guide to the best Series 63 Prep Courses to help you choose the one that's right for you. Looking for Series 63 Prep Courses to help you choose the one that's right for you. Looking for Series 63 Prep Courses to help you choose the one that's right for you. to determine which best prepare you for the test. We weighed dozens of features, evaluated sample materials, and spoke to industry experts and former students of each program. In the interest of keeping our recommendations accessible to everyone, we only looked at courses that were available online. You may want to see if there are any options in your local area as well. After analyzing our data, five companies rose above the rest. Securities Institute of America was our favorite because of its comprehensive practice exams and flexible purchasing options that allow you to choose only the study materials you want. You may prefer one of our other finalists, however, so we recommend checking out all of our reviews before you make your decision. Our five finalists are listed below followed by the other 20 companies we considered. Click on the links to visit the company websites and to read more about our top picks. Full Reviews of the Best Series 65 Exam Prep Courses For May 2025 1. Securities Institute of America Series 65 Review Best for practice exams. SIA Series 65 has a lot to offer, but their best course materials are clearly their practice exams. Each of these focuses on preparing you for different areas of the Series 65 exam in different ways. Plus, they're a great tool for gauging how well you're remembering what you've learned from each lesson. As stated above, this course focuses heavily on test-based content. There are currently 15 different ways to customize your practice exams with SIA's program. For example, you could completely randomize the tests to ensure that you're constantly working on something new. Of course, this is only scratching the surface of what this course offers. SIA's course doesn't only consist of practice exams. It also comes with 13 hours of video lessons. These ensure that you have a proper understanding of Series 65 concepts before you take each practice exam. This is done so that the tests can reinforce what you've already learned instead of being a trial and error process. Self-study methods are also available through SIA. Each course comes with a set of textbooks and cheat sheets. Each of these can be used to refresh your memory between study sessions. Plus, they're short enough that you can complete them during any breaks you have during the day. Guarantee: Securities Institute of America has a Greenlight Guarantee that's a surefire way to ensure you pass your exam on the first attempt. After completing the included Greenlight Exam at the end of the actual Series 65 exam from FINRA.A La Carte: There's a large catalog of study materials available through this company, but you aren't forced to buy them all in one expensive bundle. Instead, feel free to pick and choose whatever resources you want to take the Series 63 exam or just brush up on the essentials with continuing education, you can check out some of the bundles offered by Securities Institute of America. CoursePrice 516 Page Textbook\$89.95 1,800+ Question Exam Prep Software\$59.95 13 Hours Online Video Lecture\$99.00 The Complete Self Study Solution (Everything Combined)\$200.00 Go To SIA Series 65 2. Securities Training Corporation Series 65 Review Best for personalized instruction. Securities Training Corporation (STC) does its best to create a personalized learning plan for each student. It employs adaptive learning technology and custom practice exams to help you target your weak areas and optimize your study time. It's also the only one of our finalists to offer private group or one-on-one tutoring to interested parties. In-person courses are another option for New York residents, but if you don't live here, you'll have to settle for a self-paced or live online class. All STC Series 65 exam prep courses include a year's access to the online textbook, final exams, and instructor support hotline. Free content upgrades are included, so you don't have to worry about studying outdated material. The Premier course adds over 850 online flashcards and nine hours of on-demand video lectures. These can also be purchased separately; however, it's better to buy them as part of a complete course because then you get a full year of access instead of the 90 days' access that comes with an a la carte purchase. The Premier Plus Course is STC's most comprehensive option. It includes all of the materials mentioned above as well as a live online. Each one runs from a Monday to a Thursday for 3.5 hours each day. These courses fill up quickly, so it's best to register as soon as possible. During the live class sessions, one of STC's qualified instructors will go over the key concepts you will be tested on and work through some exam practice questions with you. You can also ask any questions you have during this time. STC's online resources enable you to customize your study guide series with its diagnostic quizzes, custom exam builder, and analytics to track your performance in each area. But if you find this isn't enough, you may want to consider enrolling in private tutoring. STC can accommodate individuals or small groups. Your tutor will meet with you to discuss your needs and schedule, and then the two of you will work out a custom study plan that emphasizes the subjects you need the most help with. Price varies depending on the size of your group and how much tutoring you need the most help with. Price varies depending on the size of your group and how much tutoring you need the most help with. courses come with a study manual that's available in both digital and physical format. This is a full textbook written by securities experts that can help you understand the most complicated concepts related to your future profession, so keep it around after you pass for an easy reference once you're on the job!Tech Support: This company has an impeccable tech support team to provide assistance when using any of their online materials. Since this is primarily a web-based curriculum, you can rest assured that everything will work properly and you can quickly get assistance if anything goes wrong. Live Classes: The good news is that STCUSA offers live-streamed classes for students; the bad news is this is only available in their highest-priced tier. If you're trying to save money on your exam prep, you may have to sacrifice live classes to do so with this course \$150.00 Series 65 On-Demand Lectures \$75.00 Series 65 On-Demand Lectur Course\$325.00 Go To Securities Training Corporation Series 65 3. Achievable Series 65 Exam Prep Review Best for adaptive learning. Students who have studied for their securities exams with Achievable have gone on to work for some of the top financial institutions in the world, including Blackrock and Goldman-Sachs. The secret to their success is a powerful adaptive learning tool that utilizes spaced repetition to ensure that each concept is fully memorized and understood before moving on. For less than \$200, enrolling in Achievable's Series 65 prep course provides access to their online study text, which is broken up by regular practice quizzes and full exam simulations. Each aspect of this course's design is accessible on mobile or desktop, and you can seamlessly switch between either platform without losing progress. Additionally, the entire course is powered by proprietary machine learning technology that builds a custom profile of your educational progress. Guarantee: Achievable promises that you will be able to pass your Series 65 exam on one attempt with the help of their prep course. If you are unable to earn a passing score for any reason, they will provide you with a full refund as part of their guarantee. Memory Building: Achievable places a lot of emphasis on reinforcing your memory while studying with their course. The adaptive learning technology that powers this platform uses spaced repetition to reintroduce certain lessons at a later date. This can be tracked alongside your overall exam progress on the course dashboard. Missing Lectures: Although this is one of the most affordable online prep courses for the Series 65 exam, it comes at a cost. You will miss out on lectures, audio lessons, and video content when using this course; instead, all lessons are conducted over text with practice questions and tests. Go To Achievable Series 65 4. ExamFX series 65 5 tudy Materials Review Best for student support. Its two self-study packages come with 24/7 email access to the company's knowledgeable instructors and phone support six days a week. ExamFX is also the only company on this list to offer a Pass Guarantee to its students. If you complete all the required course material and fail your Series 65 exam, you'll receive a full refund for the cost of the prep course. Live online and in-person classes are available to students who prefer a more interactive learning environment, but there's only one Series 65 class each month. The basic Self-Study Package gives you 90 days of access to ExamFX's Interactive Learning Portal. This includes an online textbook, chapter quizzes, and simulated exams. If you're more of a visual learner, you may want to upgrade to the Video Study Package, which throws in dozens of on-demand video lectures and online flashcards. Both programs employ adaptive learning technology to optimize your study time and keep you focused on the most valuable information. Test yourself with focused quizzes on specific topics or an unlimited number of simulated exams. You may start running into repeated questions if you take too many, though. Live in-person courses are available in Arizona, Colorado, and Illinois. Residents of other states will have to settle for a live online course if they want interactive instruction. The Series 65/66 course is held once a month over two days. Each day, you'll meet from 10 a.m. to 5 p.m. CST with an hour break for lunch. Your instructor will go over the key topics covered on the Series 65 exam and answer any questions you have. You can view the full course schedule on the company's website. All ExamFX students can get help at any time by reaching out via email. Phone support is available six days per week for more immediate requests. Instructors are there to explain material and practice questions, but they can also help you plan your study schedule. They have access to your learner profile, so they can see how you're doing and help you plan your study schedule. They have access to your learner profile, so they can see how you're doing and help you plan your study schedule. refund if you fail your Series 65 exam. In order to qualify, you must pass ExamFX's Guarantee exam with an 80 percent or better within three days of taking the actual exam. Adaptive Learning: One of the ways that ExamFX helps you quickly prepare for your FINRA exams is through adaptive learning technology. This means they track your strengths and weaknesses and generate a custom study routine that's based around your particular needs. Multiple Exams: ExamFX provides you with a benchmark to see whether or not you're ready to take the actual Series 65 test and earn a passing grade; the latter guarantees that you will earn an identical score. Flashcards: Despite offering a Self-Study Package, this version of ExamFX's course leaves out one of the most important resources for independent study; flashcards. Instead, you'll have to upgrade to their Video Study Package if you want to access these instrumental study tools when preparing for your exam. CoursePrice Self-Study Package\$159.95 Video Study Package\$229.95 Live Online Study Package\$294.95 Go To ExamFX Series 65 5. Kaplan Financial Review Best for flexibility and comprehensiveness. Kaplan Financial is one of the premier destinations for securities licensing prep courses because of its comprehensive study materials and flexible pricing structure. You can purchase all materials individually or bundle them together for considerable savings. It doesn't have the adaptive learning technology that our other finalists offer, but there are plenty of practice exams and quizzes to help you pinpoint areas of weakness. Kaplan has regular live Series 65 classes starting every few weeks. In-person courses are available in 23 states — Arizona, California, Colorado, Florida, Georgia, Iowa, Illinois, Indiana, Massachusetts, Maryland, Michigan, Minnesota, Missouri, North Carolina, New York, Ohio, Oregon, Pennsylvania, Tennessee, Texas, Virginia, Washington, and Wyoming. Courses run for three days from 10 a.m. to 5 p.m. on each day with an hour break for lunch. When you sign up, you're automatically granted access to the online materials — which also make up the OnDemand course — include a video library, question bank, textbook, and several practice quizzes and exams. There's also a tool for building a custom study calendar. You insert your test date and the amount of time you plan to devote to studying and the computer will tell you what you need to do each day in order to prepare yourself. Each course comes with a five-month online access period and free content upgrades during this time. If you'd like to extend your subscription, you can purchase an additional five months for a discounted rate of \$49. The question bank, textbook, practice exams, and class sessions can all be purchased individually if you'd like to build your own custom study program. There are also two self-study bundles, but unless money is a big concern, we recommend upgrading to the Premium OnDemand Online Class. This adds a set of pre-recorded video lessons and instructor support if you run into questions. Communication: Kaplan offers easy access to communication with their team of securities instructors, which helps avoid the major pitfalls of online education. Now, you don't have to worry about studying in a vacuum; you can reach out to your teacher almost as easily as if you were to raise you'll take regular Checkpoints: As you progress through Kaplan's Essential or Premium courses, you'll take regular Checkpoints: As you progress through Kaplan's Essential or Premium courses, you'll take regular Checkpoints. understand the material being taught to you, which can avoid any unwelcome surprises once you sit for the exam or start your job. Basic Self-Study Package with similarly-priced offerings from Securities Institute of America and STCUSA, it's clear that they offer the better deal. CoursePrice QuickSheet, 10th Edition\$74.50 SecuritiesPro QBank\$74.50 OnDemand Online Class Only\$179.00 Live Online Class Only\$179.00 Live Class Only\$179.00 Essential Self-Study Program\$159.00 Fremium Class\$289.00 Premium Class\$289.00 Premium Live Class\$289.00 Premium Live Class\$289.00 Premium Live Class\$289.00 Fremium Class\$289.00 Premium Live Class\$289.00 Premium Live Class\$289.00 Fremium Live Class\$289.00 Premium heavily on self-study materials that don't require a constant internet connection to complete. As such, it mainly consists of video lectures, practice exams, and textbook learning. All of this is available on-demand so you can set your own pace as you study for the Series 65 Exam. Knopman's prep course grants you immediate access to a decent spread of self-study materials. Doing so ensures that you're able to mix things up as you prepare for the exam. This is done to keep you engaged and avoid burnout as much as possible. Plus, this method leads to each study method reinforcing what you're learned in other areas. That way you can easily retain the information you need to know. All course content is also available on Knopman's mobile app, which is the main method for offline learning. Materials can be downloaded and completed via the app on your phone. Your progress will then be logged into the course as soon as you reconnect to the internet. Thanks to that, you don't need to worry about finding time to sit in front of your computer for a few hours; just take your learning on the go! This site also offers limited live learning through their All-Access Pass. Once enrolled, students are able to attend classroom sessions and 60 minute Q&A meetings. For the most part, this course blends the self-study materials with a few live classes. Doing so makes this an effective course, even if it's clear that this isn't Knopman's main focus. Video Content: The primary draw for students who enroll in Knopman's study courses is their video lectures. Over nine hours of content is included with their Series 65 course, and the content covers the exam in great detail while keeping students engaged. Offline Study: Sometimes, you can't get a clear signal when trying to connect to certain online services. Fortunately, Knopman offers downloadable supplements for most of their classes that can be accessed without an internet connection; this way, there are no data-related interruptions to your study schedule. Live Classes: If you want to enroll in live online classes, you'll have to pay for a costly upgrade to your base self-study course. This isn't too different from the other options listed here, but you may want to look into something like ExamFX instead if this is the way you prefer to study. CoursePrice FINRA Series 65 Exam Prep & Study Materials, Support and Personalization When comparing the best Series 65 exam prep courses, we determined that the most important features were accessibility, study materials, student support, and personalization. All of our finalists offer self-paced and live online Series 65 prep courses, with self-study courses being the more popular of the two. Three of our four four finalists offer self-paced and live online Series 65 prep courses, with self-study courses being the more popular of the two. Three of our four finalists offer self-paced and live online Series 65 prep courses, with self-study courses being the more popular of the two. top picks also host in-person courses in select cities around the country. Price also plays a part in course accessibility, and we preferred companies that offer several packages at different price points, so you can choose the one that best fits your budget. Kaplan won this category, thanks to its large network of in-person locations and a flexible pricing system that allows you to purchase course materials individually. A typical Series 65 exam prep course includes some type of formal instruction — either live or pre-recorded videos — plus textbooks, flashcards, question banks, and practice tests. Access to full-length practice tests is a must because they give you an accurate estimation of your score and they can highlight areas where you need further review. Pass Perfect excels here with 30 full-length practice questions to help you home in on difficult subjects until you master them. Students in live courses also enable their self-paced students to get support when they need it. Usually, this is through email, but ExamFX and Securities Training Corporation have a support hotline as well. ExamFX is also the only company we considered to offer a pass guarantee. If you don't pass your exam after completing the course, you'll receive a full refund. There are certain requirements you must meet in order to be eligible for the quarantee, however, so make sure you are aware of these before signing up. Adaptive learning technology is becoming increasingly popular among online test prep courses, and it's available with three of our four finalists. It analyzes your performance on practice questions and tests and makes suggestions on where you should focus your attention for maximum effect. This streamlines the learning process and keeps you constantly challenged and engaged. Private tutoring is another way to get personalized assistance, though it is uncommon among Series 65 test prep providers. Securities Training Corporation is one of the few companies to offer it, and it's worth a closer look if you're interested in this type of Series 65 exam prep course for you depends on your schedule, budget, and personal preferences. We've outlined the most common types below in order from least expensive to most expensive. Self-Paced: You pay for the study materials and review them on your own. Live Online: You attend virtual classes over the internet. Live In-Person: You travel to a physical location and learn in a traditional classes over the internet. Live In-Person: You travel to a physical location and learn in a traditional classes over the internet. where you need the most practice. Pros: Cheapest courses Make your own schedule Move at your own pace Cons: Requires self-discipline Limited personalization Little to no instructor help A self-paced course is best for independent learners who like being able to move at their own place. It's also a good choice if your schedule doesn't permit attending a live class. Pros: Join from anywhere More personalized instruction Schedule keeps you on track Cons: Less interactive than in-person course location. Pros: Distraction-free environment Familiar setting High degree of interactivity Cons: Requires travel Less flexibility in schedule More expensive than online-only courses A live in-person course is a good choice if you prefer learning in an interactive environment that allows for more personalized instruction. Pros: Tailored instruction Custom study plans Can be done online or in person Cons: Costly Often time-consuming Private tutoring is best if you're looking to retake the Series 65 exam or if you need to bring up your score considerably. Frequently Asked Question About the Series 65 exam we reached out to individuals who had recently taken or were about to take the Series 65 exam and asked them what questions they had about the test. Answers to some of the most common ones are listed below. If there's anything else you'd like us to add, feel free to reach out and we'll be happy to do so. How do I register for the Series 65 exam? Unlike some other Financial Industry Regulatory Authority (FINRA) exams, the Series 65 doesn't require you to be sponsored by a FINRA member firm in order to sit for the exam. Membership does affect the forms you must fill out and submit a U4 form to FINRA. If you're not sponsored, then you need a U10. Once you've registered with FINRA, you have a 120-day window in which to take the exam. The Series 65 test is administered by Pearson VUE and Prometric testing centers. Look up the testing center nearest you and schedule your exam at a time for arriving and checking in. Be sure you get there early. If you show up late, you won't be permitted entry to the testing room. You must bring a valid, government-issued photo ID with you to the testing center, and be prepared for additional ID checks, including fingerprint scans and a test-day photo. For full details on exam procedures, visit your test administrator's website. You can cancel or reschedule your exam at any time up until your test day, but you may be charged a reschedule or cancellation fee if you do so without at least 10 days' notice. Changes can be made through your online account on the testing administrator's website. If you don't take the test within your 120-day window, you will have to re-register with FINRA before you can sign up for the test again. The Series 65 license is essential for anyone hoping to become an investment advisor. But much like the similar Series 65 study guides before taking it if you want to pass on your first try. But which Series 65 course is the best one for you? Listed below are the best Series 65 study courses on the market. Each of these offers a strong set of course materials designed to ensure you pass your actual exam. Read on to find out how they stack up against each other. 1. Overall Best Series 65 Study Material: Securities Training Corporation 3. Affordable Series 65 Study Guides: Achievable Test Prep 4. Series 65 Exam Prep Courses 65 Exam Prep GUARANTEE Yes No Yes Yes No No SUPPORT Email, Phone, Social Media Email, Phone, Get StartedGet Star justify making a large purchase just to study for an exam. If the promise of future income isn't enough to increase your study materials budget, the good news is that this course offers flexibility. If you need to save a few bucks, you can pick and choose specific materials at a reduced cost—such as SIA's online platform or solo textbook. Pro - Robust Textbook Speaking of textbooks, Securities Institute of America offers one of the best ones you can find if you want to study important FINRA concepts. With over 500 pages of valuable educational material, this is a one-stop-shop for all things Series 65. In addition to physical copies, this book can also be purchased digitally and accessed on a variety of mobile devices and apps. This company's killer app is their GreenLight Exams, which are included with most of their FINRA courses and meant to be taken towards the end of your study schedule. Because of this, Securities Institute of America promises that you'll pass the actual Series 65 exam if you can pass their GreenLight Version—that's the GreenLight Guarantee! If you decide to enroll in their Series 65 exam if you can pass their GreenLight Guarantee! If you decide to enroll in their Series 65 exam if you can pass their GreenLight Guarantee! If you decide to enroll in their Series 65 exam if you can pass their GreenLight Guarantee! If you decide to enroll in their Series 65 exam if you can pass their GreenLight Guarantee! If you decide to enroll in their Series 65 exam if you can pass their GreenLight Guarantee! If you decide to enroll in their Series 65 exam if you can pass their GreenLight Guarantee! If you decide to enroll in their Series 65 exam if you can pass their GreenLight Guarantee! 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If you decide to enroll in their GreenLight Guarantee! If you decide to enroll in their GreenLight Guarantee! If you decide to enroll in their GreenLight Guarantee! If you decide to enroll in their GreenLight Guarantee! If you decide to enroll in their GreenLight Guarantee! If you decide to enroll that they don't offer any supplementary materials. If you're looking for flashcards for extra memorization practice, or some one-on-one tutoring sessions, you're out of luck! Take Me to The Securities Institute of America #2: Securities Institute of America #3: Securities Institute of America #3: Securities Institute of America #4: Securities Institute Institu also include access to an instructor hotline. You can contact someone for help at any time for no extra cost. Thanks to that, you'll never be confused about what you're learning! Don't want to pay for a full course package? With Securities Training Corporation, you can also buy each course individually, which gives you a great deal of freedom when designing your study schedule. Plus, it means that you only need to pay for the things that you want. If you aren't interested in flashcards or manuals, don't pay for them. STCUSA includes a set of study manuals with all of their courses. These bonus materials will help you dive deeper into each subject and provide additional context to lectures. Additionally, these serve as great refreshers for what you already know— so you don't have to re-watch videos or retake tests when you want some extra review. There is a Standard version of STCUSA's review course available, but it doesn't offer nearly as much value as their premium packages. Some of their best Series 65 prep content is locked behind Premier and Premier and Premier Plus memberships, including live courses and flashcards. Take Me to The Securities Training Corporation #3: Achievable is a learning resource for a huge number of finance professionals who work for some of the top financial institutions, including Wells Fargo and Goldman Sachs. Although using this company to prepare for your exam doesn't guarantee you'll land a job at one of these companies, it does provide you with the working knowledge to perform at their level! This Series 65 prep course features an exceptionally well-designed online textbook. Each passage contains helpful written material that effectively summarizes core concepts, with each page specifically mentioning how many questions cover the same topic on the full exam. In order to make the most of their adaptive learning technology, Achievable uses spaced review based on your personal experience with their platform. This ensures you're constantly going over new lessons to reinforce them in your mind, which will have a positive affect on your exam performance and working knowledge. Con - Lecture Content Unfortunately, the one thing Achievable lacks is a series of video or audio lectures. This is one of the most effective teaching techniques for a lot of learners, so its absence is concerning. If you prefer to be taught in this way, I recommend our #4 pick instead. Achievable is a simple but highly effective study guide that's very affordable to beginners. Don't let the simple structure of study texts and practice exams fool you; there's a lot of impressive tech under the hood that ensures you get the most out of this test prep course! #4: Kaplan Education: Series 65 Study Guide Materials Kaplan offers an interactive Qbank, or test bank, that you can use to test specific skills and topics. This interactive Qbank is regularly updated and consists of prebuilt questions that you can use to test specific skills and topics. This interactive Qbank is regularly updated and consists of prebuilt questions that you can use to test specific skills and topics. studying. Students can connect with a Kaplan instructor at any time during their studying; you'll never have to worry about a question going unanswered. Any questions asked regarding any content area will receive a response within two business days. Kaplan's study courses also come with a well developed video library. Each topic has a series of short, exam focused videos that reinforce what you've learned throughout the course. Because of that, they work best as a review for areas you're revisiting—such as portfolio management or unethical business practices. Unfortunately, most of the worthwhile features provided by Kaplan are locked behind the premium instruction package. But unlike SIA, the prices for these upper echelon courses aren't very competitive. Ultimately, you can get more for your money with another courses Review Video lectures are one of the best ways for students to learn course materials from ExamFX. These cover important concepts and investment adviser techniques in a clear and concise manner. Because of that, you'll be able to learn what you need to know with minimal effort. Plus these go great with the practice tests that are also included. ExamFX offers discounts to organizations whose employees are taking the exam. Simply contact them in order to find out if your group qualifies. This is an excellent opportunity for teams of aspiring professionals or small businesses. ExamFX also includes a great pass guarantee for all of their courses, you're eligible for a full refund. This isn't a common occurrence, however, just about all students earn a passing score on their first try! Con - Live Content Although ExamFX does has live content, it can be pretty limited. You'll only have access to four Series 65 live online training sessions are very effective, but it's a bit disappointing that you don't get more of them. Take Me to ExamFX FINRA Series 65 #6: KnopmanMarks: Series 65 Exam Prep Review Knopman's main focus is their video lectures. They offer over nine hours of video content designed to help you understand the Series 65 test questions. Furthermore, these lectures are all led by highly qualified instructors and cover a wide range of pertinent business information. Pro - Textbook Unlike other courses, Knopman includes a textbook with their course. This is an excellent asset if you like studying in a more traditional manner while working through the video lectures. Essentially, this is ideal for anyone who wants to emulate a classroom experience. Pro - Offline Content In addition to their online content, Knopman also offers downloadable class supplements. These are great for studying when you're on the go or in an area with no internet. They also include a mobile app that stores study materials offline. training to your Knopman course. This one addition drives up Knopman's cost to the point where it's no longer more affordable than its competitors. This is especially apparent when compared to Securities Institute of America, with a much more reasonably priced video curriculum. Consequently, you should look elsewhere if you're only looking for live content.